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Attorney Docket No. SIM 06

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	YU ET AL.	}	EXAMINER:
SERIAL NO.:	09/974,772		ART UNIT: 1754
FILED:	OCTOBER 9, 2001		CNFRM NO.: 6902
TITLE:	CONTROLLED RELEASE RATE FERTILIZERS AND METHODS OF MAKING SAME		

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box: Missing Parts

Dear Sir:

**REPLY TO NOTICE TO FILE MISSING PARTS**

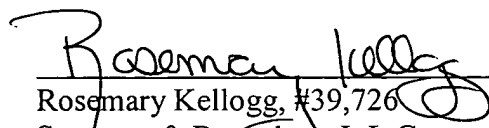
1. The applicant hereby replies to the "Notice to File Missing Parts of Nonprovisional Application," mailed November 19, 2001. Part 2 of the Notice is enclosed. In reply to the Notice, the applicant submits:

- (a) the basic filing fee of \$370.00;
- (b) additional claims fee of \$69.00;
- (c) surcharge fee of \$65.00;
- (d) assignment recordation fee of \$40.00;
- (e) a combined declaration and power of attorney signed by the inventors;
- (f) assignment and assignment recordation form cover sheet;
- (g) request to correct Filing Receipt
- (h) Information Disclosure Statement; and
- (i) Formal Drawings.

2. Enclosed is a check for \$544.00. The check covers the filing fee (\$370.00), the additional claims fee (\$69.00), the surcharge (\$65.00), and the fee for recording the assignment (\$40.00).

The undersigned hereby authorizes the charge of any required fees not included or any deficiency of fees submitted herewith to be charged to deposit account No. 19-5117.

Respectfully submitted,

  
Rosemary Kellogg, #39,726  
Swanson & Bratschun, L.L.C.  
1745 Shea Center Drive, Suite 330  
Highlands Ranch, Colorado 80129  
(303) 268-0066



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/974,772	10/09/2001	Zhimin Yu	SIM.06

CONFIRMATION NO. 6902

25871  
SWANSON & BRATSCHUN L.L.C.  
1745 SHEA CENTER DRIVE  
SUITE 330  
HIGHLANDS RANCH, CO 80129

## FORMALITIES LETTER



\*OC00000007092277\*

Date Mailed: 11/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$69.
  - \$27 for 3 total claims over 20.
  - \$42 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 504.

*A copy of this notice **MUST** be returned with the reply.*

12/10/2001 XDERME 00060007 03374772

01 FC:201  
02 FC:205  
03 FC:203  
04 FC:202

370.00  
65.00  
27.00  
42.00

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney Docket No. SIM.06

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	YU ET AL.	)	
SERIAL NO.:	09/974,772	)	EXAMINER:
FILED:	OCTOBER 9, 2001	)	ART UNIT: 1754
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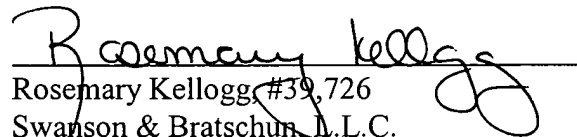
Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attn: Drawing Processing Branch

FORMAL DRAWINGS TRANSMITTAL

Dear Sir:

Enclosed herewith are the formal drawings (4 sheets) for the above-captioned patent application.

Respectfully submitted,

  
Rosemary Kellogg #39,726  
Swanson & Bratschun, L.L.C.  
1745 Shea Center Drive, Suite 330  
Highlands Ranch, Colorado 80129  
(303) 268-0066

Tom Hamill to hand Deliver to the USPTO!

\\SWANSONBRATS01\COMPANY\CLIENT FOLDERS\SIM\SIM 06\FORMAL DRAWINGS TRANSMITTAL.DOC-CMB